

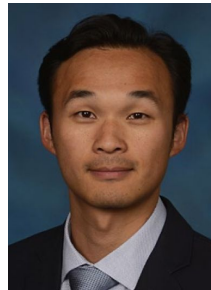


Remote Blood Oxygen Estimation From Video Using Neural Networks

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* Equal contributions



Blood Oxygen Saturation (SpO₂)

- SpO₂: Important indicator of lung functions
 - Ratio of concentration of oxygenated hemoglobin to total hemoglobin
- SpO₂ Range ~95–100% in healthy people
 - COVID-19 clinical findings: Considerable # of patients had dangerously low SpO₂ w/o looking ill before conditions worsening

$$SpO_2 (\%) = \frac{C(HbO_2)}{C(Hb) + C(HbO_2)}$$

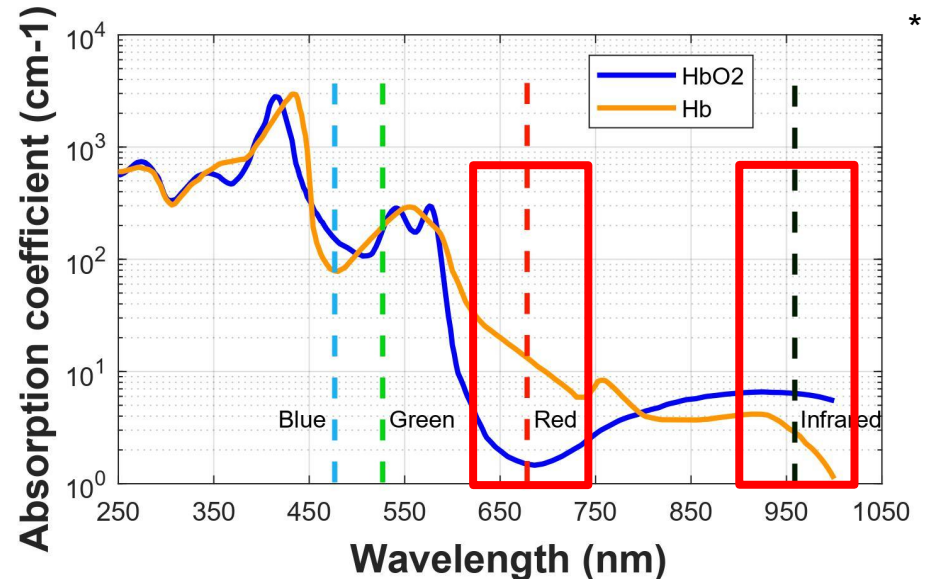
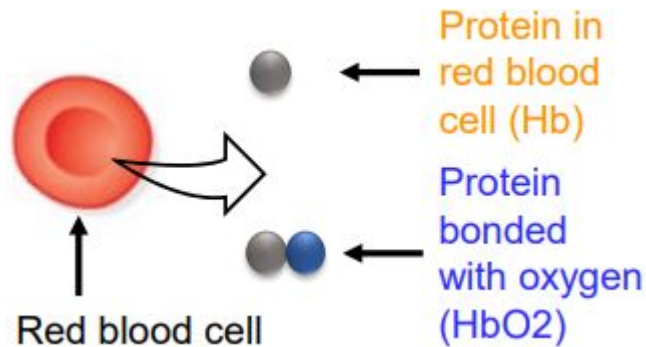
Pulse Oximeter

- Noninvasive, much less painful
- Convenient for daily use
- Less accurate ($\pm 2\%$ error)



Pulse Oximetry

- Exploits difference in light absorption of oxygenated (HbO_2) vs deoxygenated hemoglobin (Hb) to measure blood oxygen saturation (SpO_2)



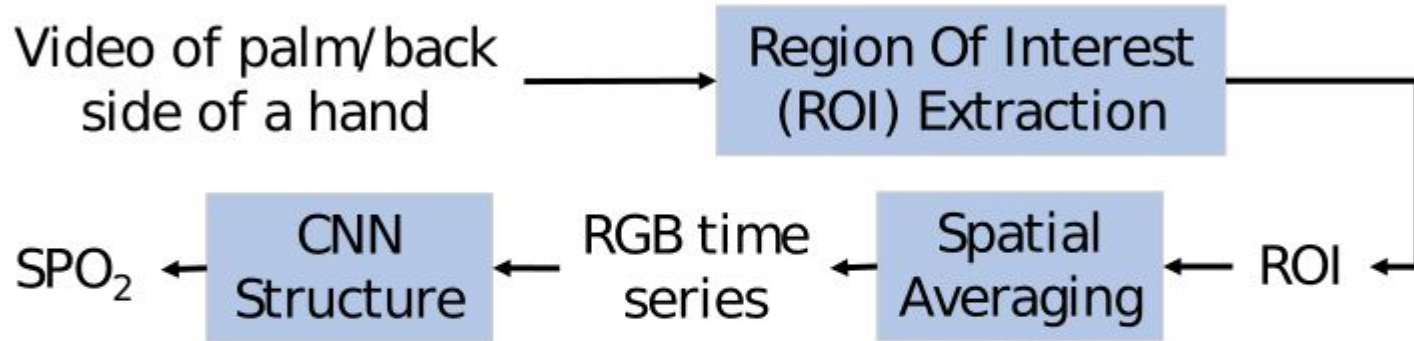
Drawbacks

- Requires direct physical contact



- Pulse oximeters not widely accessible, such as in marginalized communities and some undeveloped countries

Proposed Method





Video of palm/back
side of a hand

Region Of Interest
(ROI) Extraction

SPO₂

CNN
Structure

RGB time
series

Spatial
Averaging

ROI



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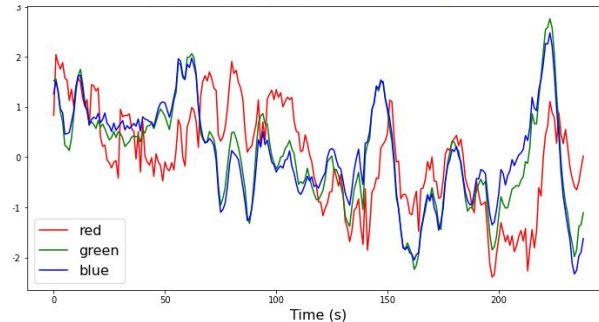
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Video of palm/back side of a hand

Region Of Interest (ROI) Extraction

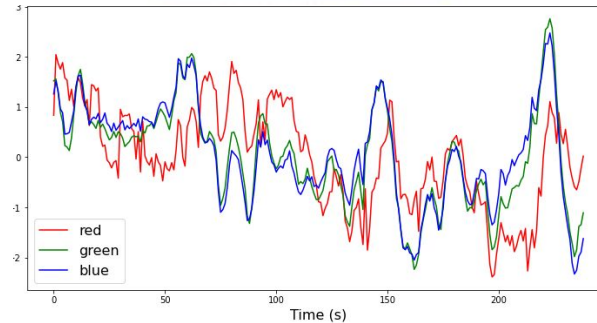
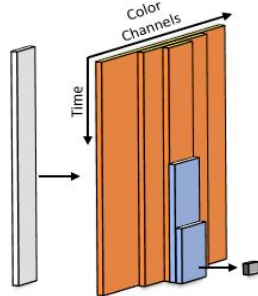
SPO₂

CNN Structure

RGB time series

Spatial Averaging

ROI



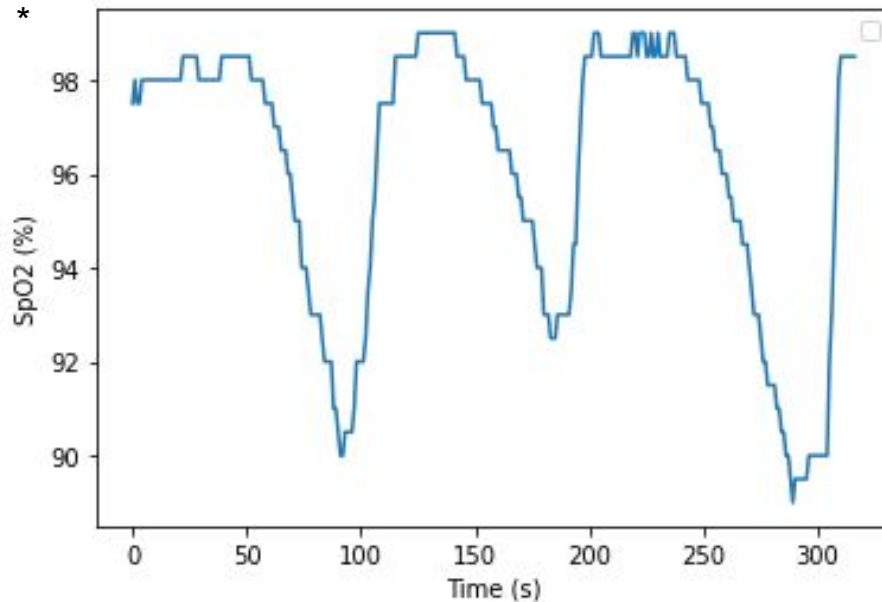
Data Collection

- Data collected from videos of the hand with palm up or down



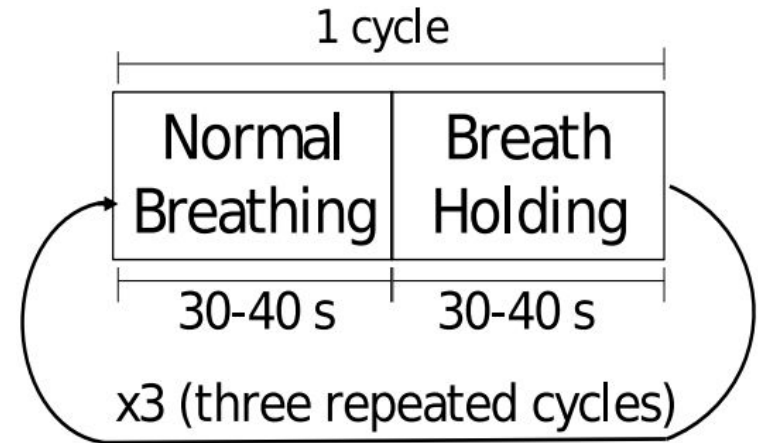
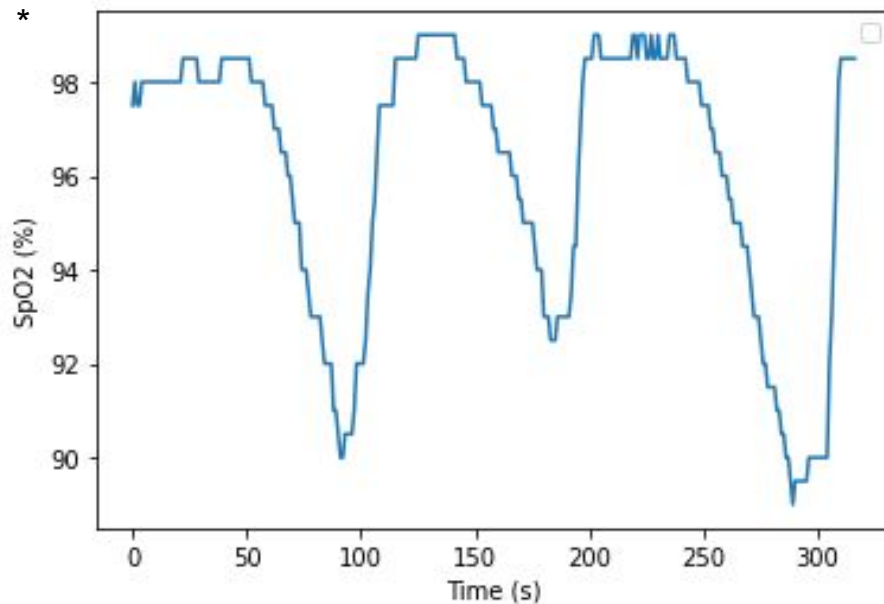
Breathing Protocol

- Participants asked to follow a breathing protocol to vary SpO_2
- Increased range of SpO_2 values



Breathing Protocol

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Model Structures

- Model 1 - Channel mixing then feature extraction
- Model 2 - Feature extraction then channel mixing
- Model 3 - Interweaving channel mixing and feature extraction

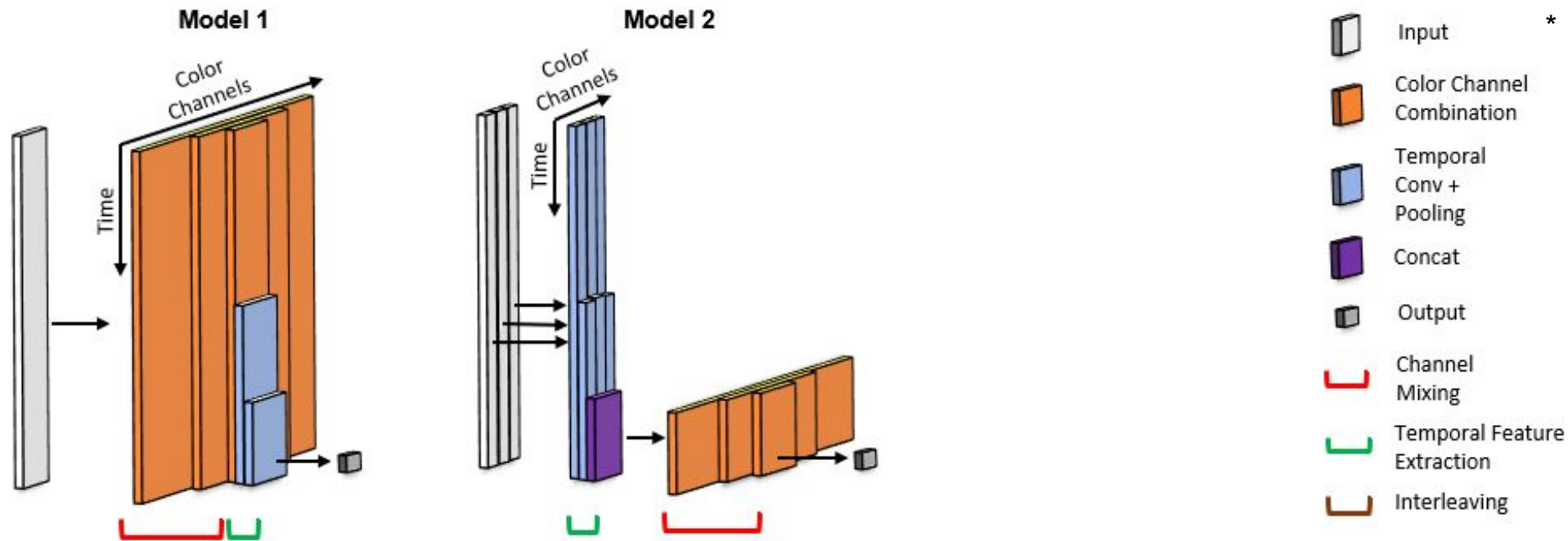
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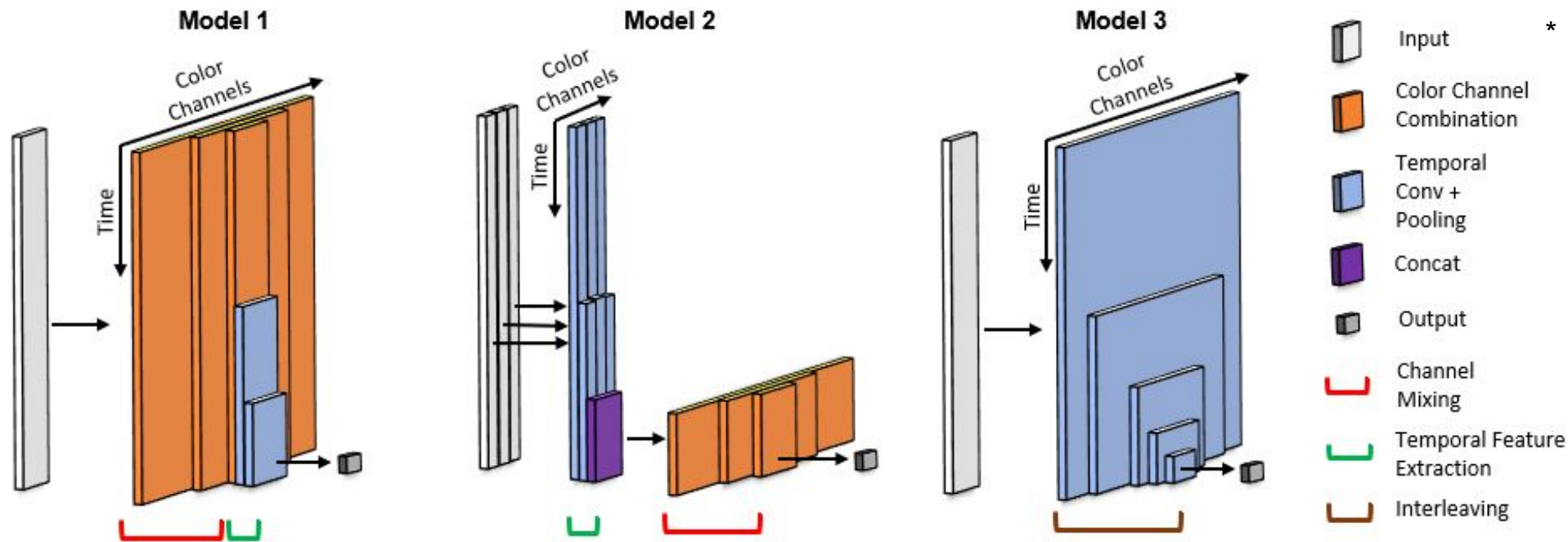
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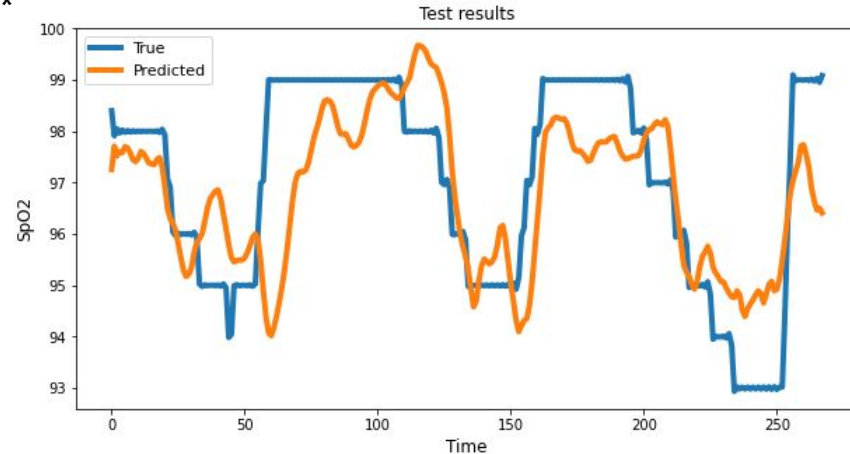
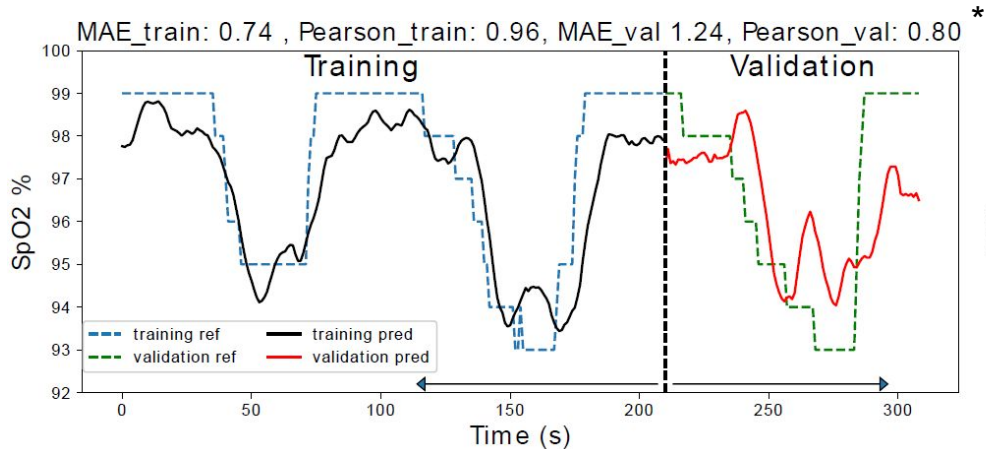
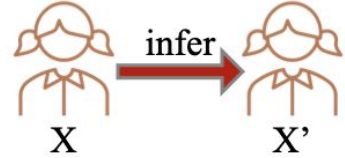
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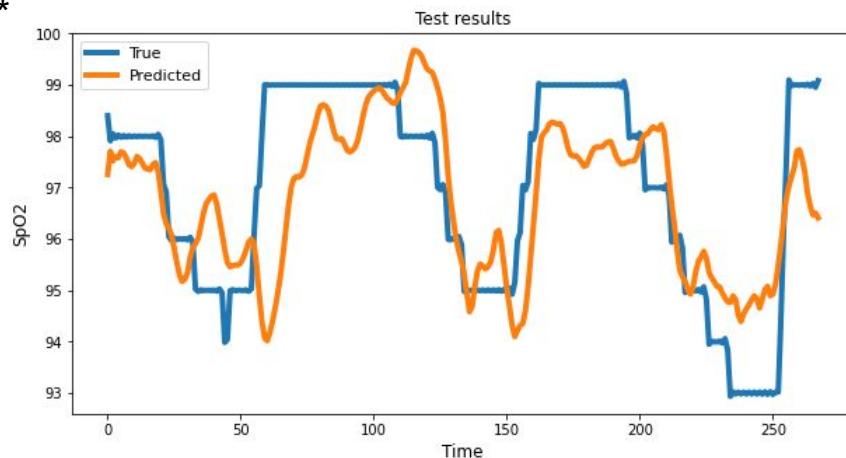
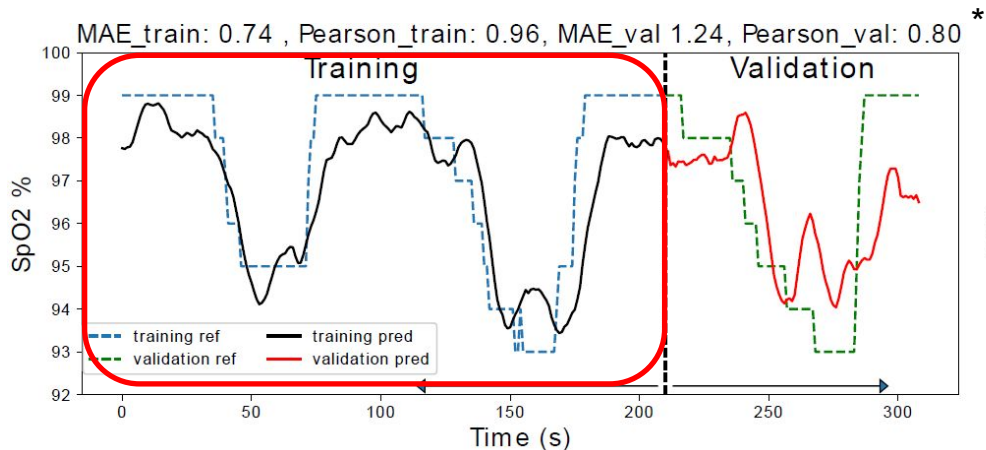
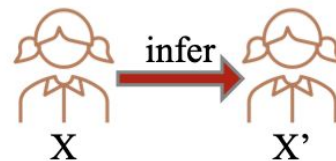
Participant Specific Testing

- 1 recording for training/validation and 1 for testing
- 2 breathing cycles for training and 1 for validation
- Train multiple instances for each model and select instance with highest validation Pearson correlation



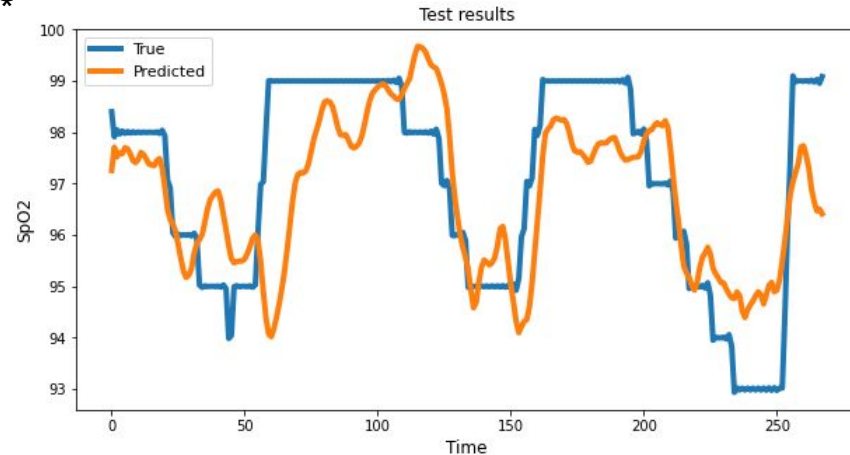
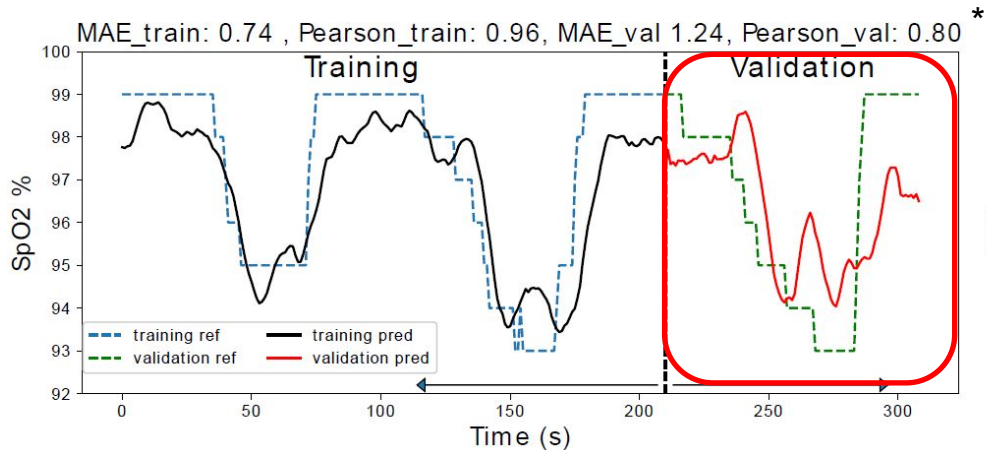
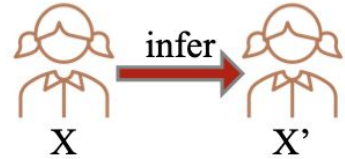
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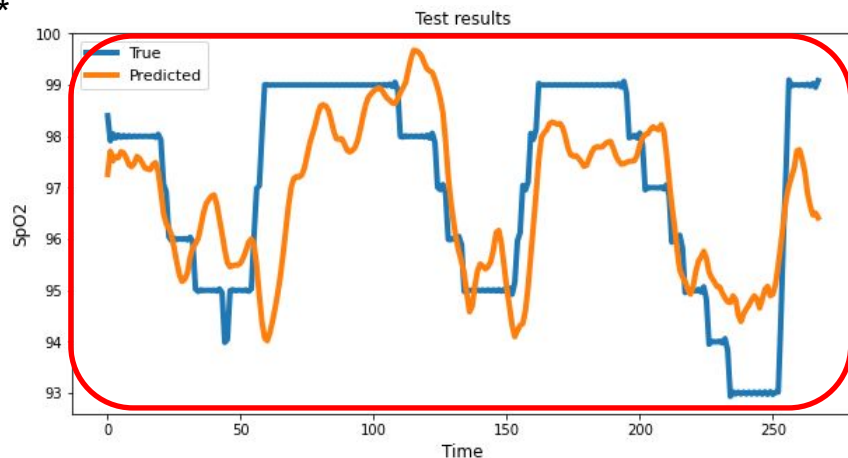
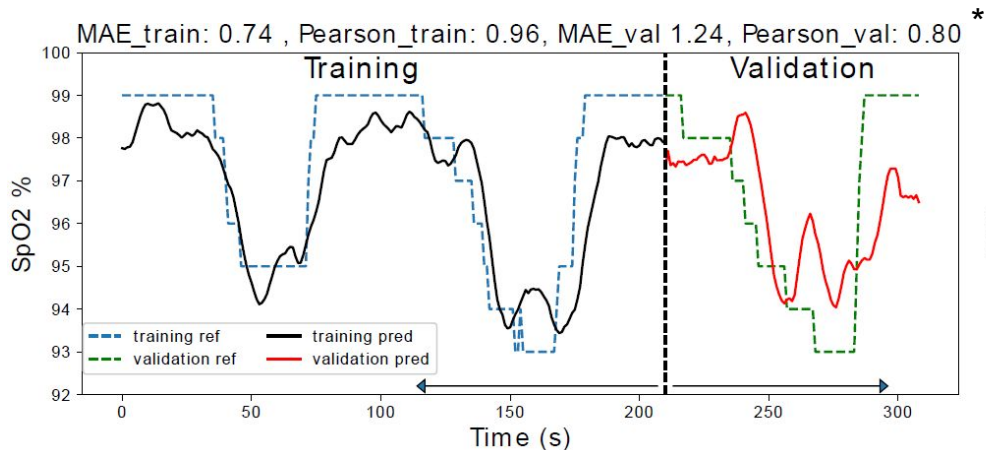
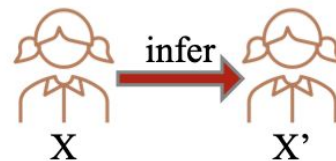
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- Train multiple instances for each model and select instance with highest val pearson



Participant Specific Correlation Results

- Median correlation across all participants
- Models 2 and 3 achieved best performance

	Hand Mode	Correlation	
		Median	IQR
Model 1	PD	0.41	0.40
(Proposed)	PU	0.39	0.37
Model 2	PD	0.46	0.44
(Proposed)	PU	0.41	0.32
Model 3	PD	0.44	0.40
(Proposed)	PU	0.41	0.46

PU (Palm Up), PD (Palm Down)

IQR (Interquartile Range) = 3rd quartile – 1st quartile

Participant Specific Correlation Results

- Ding et al. [5] – Neural network for contact-based SpO₂ prediction from video
- Scully et al. [7] – Ratio-of-ratios method

	Hand Mode	Correlation	
		Median	IQR
Model 1 (Proposed)	PD	0.41	0.40
	PU	0.39	0.37
Model 2 (Proposed)	PD	0.46	0.44
	PU	0.41	0.32
Model 3 (Proposed)	PD	0.44	0.40
	PU	0.41	0.46
<i>Scully et al.</i> [5]	PD	0.08	0.37
	PU	0.19	0.24
<i>Ding et al.</i> [7]	PD	0.38	0.39
	PU	0.34	0.56

[5] X. Ding, D. Nassehi, and E. C. Larson, "Measuring oxygen saturation with smartphone cameras using convolutional neural networks," *IEEE J Biomed. Health Info.*, 2018.

[7] C. G. Scully, J. Lee, J. Meyer, et al., "Physiological parameter monitoring from optical recordings with a mobile phone," *IEEE Trans. Biomed. Eng.*, 2011.

Participant Specific MAE Results

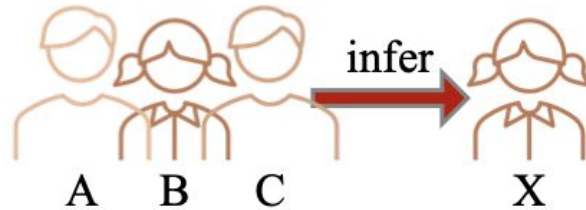
- All models outperformed Ding et al.
- Scully et al. achieves lower mean absolute error (MAE) than some models but has low correlation

	Hand Mode	Correlation		MAE (%)	
		Median	IQR	Median	IQR
Model 1 (Proposed)	PD	0.41	0.40	2.12	0.91
	PU	0.39	0.37	2.16	1.80
Model 2 (Proposed)	PD	0.46	0.44	2.09	1.32
	PU	0.41	0.32	1.96	0.68
Model 3 (Proposed)	PD	0.44	0.40	1.93	1.11
	PU	0.41	0.46	1.81	1.83
Scully <i>et al.</i> [5]	PD	0.08	0.37	1.94	0.92
	PU	0.19	0.24	2.01	0.80
Ding <i>et al.</i> [7]	PD	0.38	0.39	3.25	2.85
	PU	0.34	0.56	3.40	3.16

Naïve predictor is not useful

Leave-One-Participant-Out Experiments

- Aim: To test generalizability to unseen participants
- Train on all participant recordings but leave out test participant
- Participant-wise cross-validation



Leave-One-Out Correlation Results

- More difficult than participant-specific case
- Doing channel combination first may be more generalizable

	Hand Mode	Correlation	
		Median	IQR
Model 1 (Proposed)	PD	0.33	0.42
	PU	0.46	0.36
Model 2 (Proposed)	PD	0.15	0.50
	PU	0.33	0.39
Model 3 (Proposed)	PD	0.23	0.38
	PU	0.27	0.31

Leave-One-Out Correlation Results

- Proposed models outperform state-of-the-art

	Hand Mode	Correlation	
		Median	IQR
Model 1 (Proposed)	PD	0.33	0.42
	PU	0.46	0.36
Model 2 (Proposed)	PD	0.15	0.50
	PU	0.33	0.39
Model 3 (Proposed)	PD	0.23	0.38
	PU	0.27	0.31
Scully <i>et al.</i> [5]	PD	0.05	0.43
	PU	0.01	0.54
Ding <i>et al.</i> [7]	PD	0.11	0.56
	PU	0.26	0.42

Leave-One-Out MAE Results

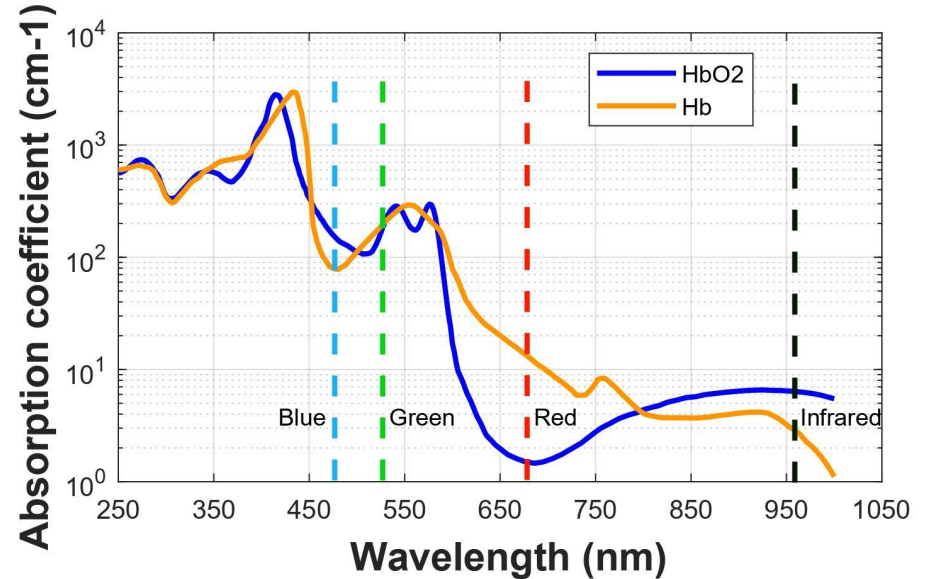
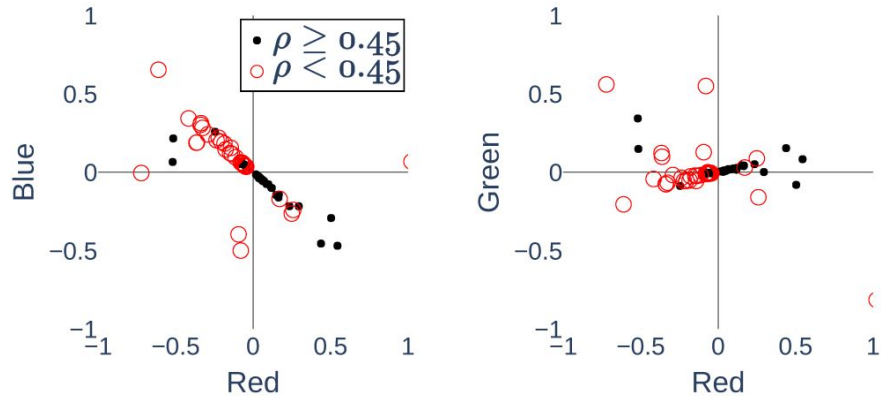
- More difficult than participant-specific case
- Features extracted after channel combination maybe more generalizable

	Hand Mode	Correlation		MAE (%)	
		Median	IQR	Median	IQR
Model 1 (Proposed)	PD	0.33	0.42	2.33	1.07
	PU	0.46	0.36	1.97	0.80
Model 2 (Proposed)	PD	0.15	0.50	2.43	0.94
	PU	0.33	0.39	2.08	0.73
Model 3 (Proposed)	PD	0.23	0.38	2.48	1.18
	PU	0.27	0.31	2.02	1.03
Scully <i>et al.</i> [5]	PD	0.05	0.43	2.08	0.65
	PU	0.01	0.54	2.08	0.60
Ding <i>et al.</i> [7]	PD	0.11	0.56	3.19	1.61
	PU	0.26	0.42	2.43	1.22

Naïve predictor is not useful

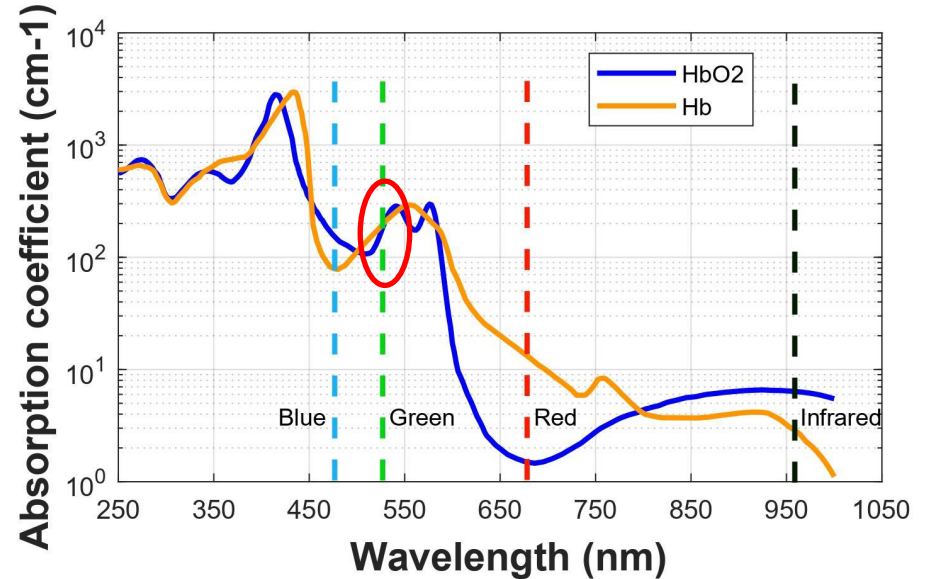
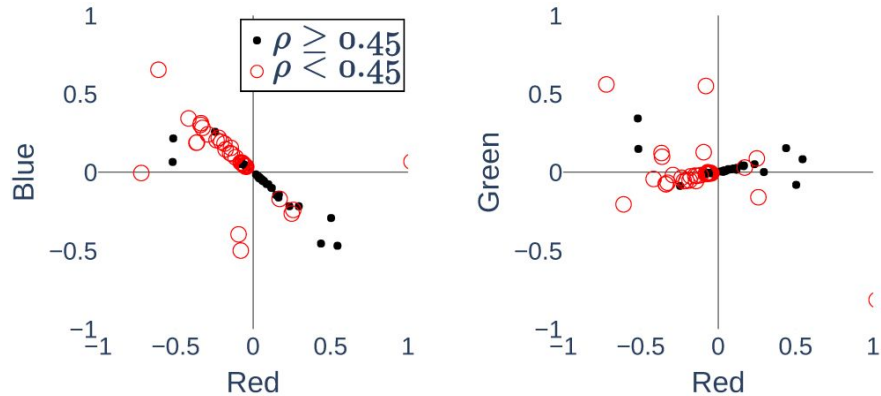
Channel Combination Visualization

- Visualized model weights



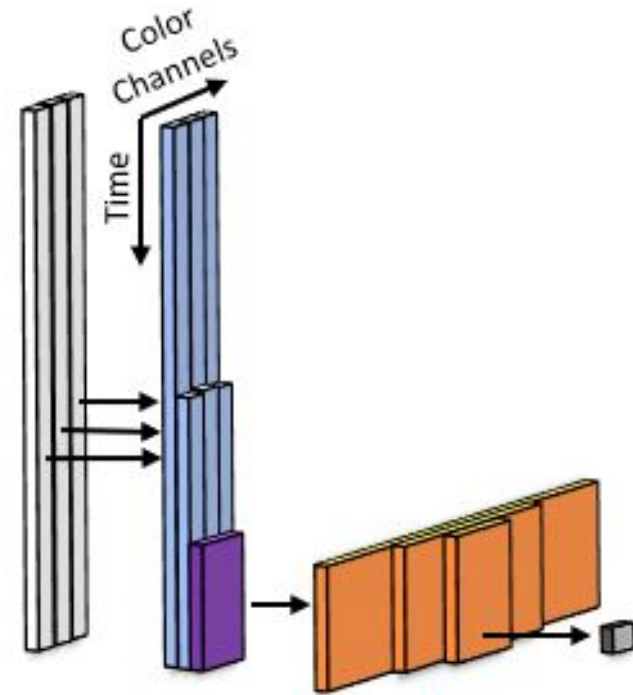
Channel Combination Visualization

- Green channel is given low weight



Conclusion

- Measure blood oxygen without physical contact utilizing smartphone videos
- Developed novel neural network architectures which outperformed state-of-the-art methods
- Showed that learned RGB weights are consistent with optophysiological methods.





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